

# World Bank - Grant Reporting and Monitoring (GRM) Report

Report to Donor

Ref. TF0A5722  
Printed On: 10/01/2019

Reporting Period: 07/01/2018 to 06/30/2019  
Report Type: Progress Report  
Report Status: Approved

Assignment: TF0A5722

## Uganda- Additional FCPF Readiness Grant FCPFR - Forest Carbon Partnership Facility

Task Team Leader: 00000239598 - Nigel Ross Hughes  
Approving Manager: 00000066054 - Iain G. Shuker

Summary Information	
TF Status	ACTV
Recipient Country	Uganda
Executed By	Recipient
Managing Unit	9270 - SAFE2
Grant Start Date / End Date	10/18/2017 to 12/31/2020
Original Grant Amount	3,750,000.00
Grant Amount	3,750,000.00
Outstanding Commitments	0.00
Cumulative Disbursements	2,403,133.35 as of 06/30/2019
Disbursed 07/01/2018 to 06/30/2019	1,092,855.35
Donor	TF602001 - MULTIPLE DONORS

This GRM report includes the following sections: Overview, Components, Outcome, Execution, Program(FCPFR), Disbursements.

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## OVERVIEW

### Overall Assessments

#### Grant Objectives:

The Project Development Objective is to design a socially and environmentally viable national strategy for reducing emissions from deforestation and forest degradation as compared to a reference level.

#### Overall progress from 07/01/2018 to 06/30/2019 with regard to Achieving Grant Objectives:

##### Comment:

Activities are progressing well, although some initial procurement delays required a 6 month grant extension, the objectives will be achieved by the new closing date of June 30, 2020.

#### Overall progress from 07/01/2018 to 06/30/2019 with regard to Implementation of Grant Financed Activities:

##### Comment:

Between May and July 2018 Uganda underwent a self -assessment of its REDD+ readiness and presented its R-Package to the October 2018 FCPF PC meeting. The R-Package was approved, with recommendations made for Uganda to take on board as it is continuing its REDD+ readiness work.

Despite the initial delays, largely due to the lengthy processes of procuring the needed consultancy support, the activities are progressing well. Uganda is continuing to foster gender integration in national REDD+ processes though emphasizing gender considerations in the consultation processes and integration of gender roles and participation in the implementation of national REDD+ Strategy and implementation frameworks. The National REDD+ Strategy was launched by the Minister of Water and Environment at the Uganda Water and Environment Week in March 2019. A more concise version of the REDD+ Strategy, aimed at policy makers and potential partners for ER Programs, is under preparation. The REDD+ Secretariat is working with an indigenous peoples organization to raise awareness on REDD+ among forest dependent indigenous people groups. At the same time, Uganda started preparation of two sub-national ER programs, in the Albertine Rift and the Mount Elgon areas. Uganda's first submission of the REDD+ Forest Reference Emission Level (FREL) has undergone a technical assessment by the UNFCCC; an update of the FREL, using the 2017 Activity Data is currently ongoing. Good progress has been made towards operationalizing a National Forest Monitoring System, housed at the National Forestry Authority, under overall mandate of the Ministry of Water and Environment. Development of the Safeguards Information System is advancing on schedule.

Governments of Uganda and Ghana had a fruitful South South knowledge exchange on REDD+ (hosted by Ghana) in July 2018 that was featured in the FCPF quarterly newsletter.

Building on the REDD+ readiness work and preparation of the Forest Investment Program (supported by the Strategic Climate Funds), the Government of Uganda is preparing an IDA-financed Forests and Resilient Landscapes Project.

Current grant disbursements stand at 64%, which is consistent with the project progress.

Following approval of its R-Package by the FCPF PC in October 2018, the Government of Uganda has taken into consideration PC recommendations as follows:

- Address issues of land tenure, including in relationship to benefit sharing, and continue engaging with other bodies responsible for land resources: Uganda's Benefit Sharing Arrangements (BSA) identifies tenure of land and forests a critical issue underpinning future sharing of carbon benefits and recommends putting in place a legal definition of carbon rights. Implementation of this recommendation will involve engagement with Ministry of Lands, Housing and Urban development and other stakeholders in resolving the unclear relationship between current forms land tenure and sharing of carbon benefits.
- Clarify carbon rights, considering legal as well as social issues and taking into account Uganda's experiences under VCS and CDM: Uganda is embarking on revising the Forestry Policy (2001) and the recommendation to put in place a legally binding definition of carbon rights will be addressed through this policy reform and thereafter in the revision of the Forests and Tree Planting Act (2003).
- Strengthening coordination with other sectors and also inclusion of local governments and private sector in REDD+ processes, considering their importance to success of ER Programs: this is taking place as part of stakeholder engagement for preparation

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for the ER Programs.

-- Completing the next steps for developing a functional National Forest Monitoring System (NFMS): NFMS development is progressing well, with the necessary capacities being built within the mandated institutions.

## Grant follow-up and structure

### Description and context of Grant:

Grant activities are organized into five components that correspond to the components and sub-components of Uganda's R-PP.

#### Component 1: Coordination and Monitoring of REDD+ Readiness Process

The objective of this component is to continue supporting national level coordination and monitoring of the REDD+ process. This support builds on structures and process involving the REDD+ Secretariat and national REDD+ coordination, supervision, stakeholder participation, and preparing Readiness Package (R-Package).

#### Component 2. Stakeholder Engagement and Feedback in Readiness Process

The objective of this component is to support broad stakeholder engagement with a particular focus on implementation of the Uganda REDD+ gender strategy, which includes implementation of key actions as identified in the Uganda REDD+ Gender Strategy, foster gender integration in national REDD+ processes, and integrating stakeholder engagement.

#### Component 3. REDD+ Strategy and Strategic Environmental and Social Assessment

The objective of this component is to continue supporting the National REDD+ Strategy process and environmental and social elements of it, and development of the Safeguards Information System of Uganda REDD+ as an integral component of the REDD+ Strategy.

#### Component 4. REDD+ Implementation Framework

The objective of this component is to support the development of design options for the ER Programs nested in the National REDD+ Strategy.

#### Component 5. National Reference Scenario and Inventory of Forest Resources

The objective of this component is to refine the National Reference Scenario / FREL by undertaking analysis to better determine forest degradation and establishing and institutionalizing the NFMS as approved by the national REDD+ structures.

**Expected follow up (if any):** Follow up Bank project/loan/credit/grant

### Comment on follow up:

The Government of Uganda is preparing an IDA-funded Forests and Resilient Landscapes Project. Uganda is also initiating identification and development of two Emission Reductions Programs (in the west and east of the country), through mobilization of actors around the identified Strategy Options in the National REDD+ Strategy (even though Uganda does not have a potential buyer for the emissions reductions).

### End Date of Last Site Visit:

### Restructuring of Grant:

In April 2019, the Government of Uganda requested a 6 month extension of the grant - this was granted in May 2019. New closing date of the grant is June 30, 2020.

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## OUTCOME

### Comments on outcome achieved from 07/01/2018 to 06/30/2019

Implementation of activities in the current financial year started very positively with Uganda's R-Package being endorsed by the FCPF PC. The R-Package was prepared in a highly participatory manner. Uganda's REDD+ Readiness experience was enhanced through a knowledge exchange with Ghana - which is one of the REDD+ champion countries in Africa, having advanced towards the signing of an ERPA with the FCPF Carbon Fund. The national launch of the REDD+ Strategy at the Uganda Water and Environment Week in March 2019 helped raise awareness on REDD+ among Uganda's policy makers and senior officials in the sector.

The National REDD+ Secretariat (composed of GOU civil servants and housed in the Forestry Sector Support Department of the Ministry of Water and Environment) engaged two national consultants to support REDD+ readiness activities, a Lead Technical Advisor and a Project / Communication Officer; in addition, an international technical advisor to support preparation of the two ER-Programs was engaged. The Government is working with the FAO, under the TA agreement - the forest inventory process focused on areas previously not covered including the Albertine (Hoima, Kabarole, Greater Bushenyi) and Northern Uganda (Karamoja). The Land Use Land Cover map (LULC) for 2017 is nearly complete. An initial assessment of forest degradation using the BFAST tool is also nearly complete. A number of capacity building activities for the GOU were organized on the appropriate methodologies and tools for forest monitoring.

National REDD+ management structures (especially the three task forces) have had a number of meetings related to ongoing work on updating the REDD+ Strategy (making it more implementation oriented), updating the SESA, ESMF and other safeguards frameworks, developing a Safeguards Information System. and continued integration of gender aspects in REDD+.

### Grant Outcome Indicators

No Outcome indicators are defined or used for this grant.

## COMPONENTS

### Output and Implementation by Component

#### Component 1. Coordination and Monitoring of REDD+ Readiness Process

**Status:** Under Implementation  
**Planned Output:** National REDD+ Secretariat functional; National REDD+ coordination structures (task forces and National Technical Committee for REDD+) supported; R-Package produced and completed  
**Actual Output:** National REDD+ Secretariat fully operational (including two consultants), R-Package submitted and approved by FCPF PC, International TA for ER Programs hired.

#### Component 2. Stakeholder Engagement and Feedback in Readiness Process

**Status:** Under Implementation  
**Planned Output:** Specific engagement with Indigenous Peoples ongoing; implementation of key activities in REDD+ Gender Strategy  
**Actual Output:** Both outputs are under implementation, with support from an umbrella organization of indigenous peoples in Uganda

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## Component 3. REDD+ Strategy and Strategic Environmental and Social Assessment

**Status:** Under Implementation  
**Planned Output:** REDD+ strategy updated; safeguards instruments updated / prepared; SIS development initiated.  
**Actual Output:** Initial revised drafts of the REDD+ Strategy and Action Plan, SESA, ESMF undergoing stakeholder review and validation. SIS development initiated.

## Component 4. REDD+ Implementation Framework

**Status:** Under Implementation  
**Planned Output:** Design of two ER Programs ongoing (with support from a consulting firm)  
**Actual Output:** Activities towards the design of the two ER Programs at the inception stage.

## Component 5. National Reference Scenario and Inventory of Forest Resources

**Status:** Under Implementation  
**Planned Output:** FREL update and NFMS institutionalization ongoing.  
**Actual Output:** As planned. NFI activities completed; LULC map for 2017 produced; forest degradation assessment ongoing.

## Comment on planned and actual Output

## Comment on component implementation progress

Overall, activities are progressing well; it is expected that all outputs will be achieved by the end of the grant.

## EXECUTION

### Bank project related to the grant

**Project ID / Name:** P124296 - UG-FCPF REDD READINESS  
**Project Status:** Lending  
**Global Focal Area:** Climate change  
**Product Line:** CN - Carbon Offset

### Implementing agency and contact details

**Agency:** Ministry of Water and Environment / Forestry Sector Support Department  
**Contact:** Margaret Athieno Mwebesa  
**Address:** 10/20 Spring Road, Kampala, Uganda  
**Phone:** +256772470023  
**Website:** <http://www.redd-uganda.org/>  
**Email:** [margathieno@gmail.com](mailto:margathieno@gmail.com)

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## PROGRAM

### Program Specific Questions

**1. Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those funded by Describe progress in how the Grant activities are being coordinated with other REDD+-related initiatives, including those f**

Uganda's REDD+ Readiness activities in FY19 were supported only by the FCPF Readiness Fund and the Government of Uganda, following completion of the UN-REDD National Programme.

**2. Describe any important changes in the technical design or approach related to the Grant activities.**

None.

**3. Describe progress in addressing key capacity issues (implementation, technical, financial management, procurement) related to this Grant.**

The REDD+ Readiness activities contribute significantly to building capacity of key stakeholders through provision of information, stakeholder engagement, etc. Under the TA agreement for the institutionalization of the National Forest Monitoring System, FAO has provided a number of trainings for the National Forestry Authority staff, including on use of BFAST for assessing forest degradation, SEPAL and Google Earth Engine portals. The project accountant (staff of the Ministry of Water and Environment) benefitted from a short-term training on financial management for the Bank-funded projects. A training module on gender aspects of REDD+ was developed; it will be rolled out in the current financial year to members of the REDD+ National Technical Committee and task forces.

**4. Describe progress in addressing social and environmental issues (including safeguards) related to the Grant. Is the SESA conducted in accordance with the Common Approach?**

SESA was conducted in accordance with the Common Approach. SESA was prepared in a consultative manner - it is currently being updated. In addition, the ESMF was prepared and is being updated as well. The Government of Uganda is also preparing other safeguards frameworks for its National REDD+ Strategy - the Process Framework, the Indigenous Peoples Planning Framework, and the Resettlement Policy Framework.

**5. Is the ESMF prepared in accordance with the Common Approach?**

ESMF prepared in accordance with the Common Approach. The ESMF sets out the procedures for identifying, assessment, managing and mitigating the potential environmental and social impacts of REDD+ interventions. The ESMF development was informed by extensive national and subnational consultations, including with indigenous and forest-dependent communities.

**6. Describe progress in stakeholder consultation, participation, disclosure of information, and the FGRM related to this Grant.**

Uganda's REDD+ readiness process has been highly participatory. A Uganda REDD+ site includes all relevant information, with key documents also published on the Uganda Ministry of Water and Environment website. An appropriate FGRM for national REDD+ was identified with support from the original FCPF REDD+ Readiness grant.

## DISBURSEMENTS

### Disbursements Summary in USD

Date From	Date To	Planned Cumulative	Planned Period	Actual Cumulative	Actual Period
07/01/2017	12/31/2017	0.00	0.00	350,000.00	350,000.00
01/01/2018	06/30/2018	0.00	0.00	1,310,278.00	960,278.00
07/01/2018	12/31/2018	0.00	0.00	1,444,008.90	133,730.90

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01/01/2019	06/30/2019	0.00	0.00	2,403,133.35	959,124.45
07/01/2019	12/31/2019	0.00	0.00	0.00	0.00
01/01/2020	06/30/2020	0.00	0.00	0.00	0.00
07/01/2020	12/31/2020	0.00	0.00	0.00	0.00